

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.
16580 (PC18184A)

In Re Application: Corinne Elizabeth Augelli-Szafran, et al.

JUN 3 0 2004

Serial No.
09/966,534

Filing Date

September 27, 2001

Examiner

Amelia A. Owens

Group Art Unit

1625

**PHENOXAZINE ANALOGS USEFUL AS AMYLOID AGGREGATION INHIBITORS
AND TREATMENT OF ALZHEIMER'S DISEASE AND DISORDERS
RELATED TO AMYLOIDOSIS**

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Peter I. Bernstein, Esq.

Registration No.: 43,497

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Garden City, NY 11530

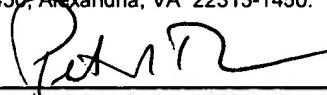
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Peter I. Bernstein, Esq.

Typed or Printed Name of Person Mailing Certificate

Dated: June 28, 2004

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
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O I P E
In Re Application Of: Corinne Elizabeth Augelli-Szafran, et al.

JUN 3 0 2004

JUL 06 2004

Serial No. 09/966,534	Filing Date September 27, 2001	Examiner TECH CENTER 1600/2906 Group Art Unit Amelia A. Owens	1625
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**Title: PHENOXAZINE ANALOGS USEFUL AS AMYLOID AGGREGATION INHIBITORS
AND TREATMENT OF ALZHEIMER'S DISEASE AND DISORDERS
RELATED TO AMYLOIDOSIS**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUL 06 2004

Applicants: Corinne Elizabeth
Augelli-Szafran, et al.

Examiner: Amelia A. Owens
TECH CENTER 1600/2803

Serial No.: 09/966,534

Art Unit: 1625

Filed: September 27, 2001

Docket: 16580 (PC18184A)

For: PHENOXAZINE ANALOGS
USEFUL AS AMYLOID
AGGREGATION INHIBITORS
AND TREATMENT OF ALZHEIMER'S
DISEASE AND DISORDERS
RELATED TO AMYLOIDOSIS

Confirmation No.: 5264

Dated: June 28, 2004

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

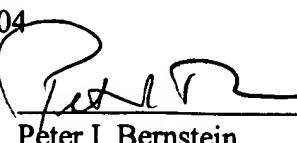
In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. International Publication No. WO 96/30766, published October 3, 1996;
2. International Publication No. WO 00/76969 A1, published December 21, 2000.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 28, 2004

Dated: June 28, 2004



Peter I. Bernstein

07/02/2004 ANONDAF1 00000017 09966534

01 FC:1806

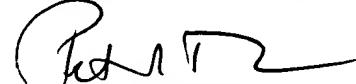
180.00 DP

3. International Publication No. WO 00/76489 A2, published December 21, 2000; and
4. Wischik, C.M., et al., "Selective Inhibition of Alzheimer Disease-like Tau Aggregation by Phenothiazines", Proc. Natl. Acad. Sci. USA, 93: 11213-11218, (1996).

The references were cited in a Search Report dated January 8, 2002 received from the European Patent Office. Applicants are submitting copies of the above-cited references, together with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(c), a check in the amount of \$180.00 is enclosed.

Respectfully submitted,



Peter I. Bernstein
Registration No. 43,497

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Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE			Atty. Docket No. (Optional)		Application Number		
INFORMATION DISCLOSURE CITATION			16580 (PC18184A)		09/966,534		
(Use several sheets if necessary)					JUL 06 2004		
PATENT & TRADEMARK OFFICE JUN 3 0 2004 SJC18					TECH CENTER 1300/2800		
			Applicants				
			Corinne Elizabeth Augelli-Szafran, et al.				
			Filing Date		Group Art Unit		
			September 27, 2001		1625		
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)
	AA						
	AB						
	AC						
	AD						
	AE						
FOREIGN PATENT DOCUMENTS							
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	96/30766	10/03/96	PCT				
	00/76969 A1	12/21/00	PCT				
	00/76489 A2	12/21/00	PCT				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
		Wischik, C.M., et al., "Selective Inhibition of Alzheimer Disease-like Tau Aggregation by Phenothiazines", Proc. Natl. Acad. Sci. USA, 93: 11213-11218, (1996)					
EXAMINER			DATE CONSIDERED				
<small>* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							